

#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18
Stylesheet Version v18.0

Title of Invention

Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment

Application Number:

10/043843

Confirmation Number:

1141

First Named Applicant:

Michael Kozuch

Attorney Docket Number: 42P11931

Art Unit:

2132

Examiner:

Gilberto Barron

Search string:

( 3996449 or 4430709 or 4802084 or 4975836

or 5187802 or 5230069 or 5295251 or 5361375 or 5469557 or 5506975 or 5555385 or 5555414 or 5574936 or 5956408 or 5978475 or 6044478

or 6088262 or 6175924 or 6188257 or

6275933 ).pn.

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**Subclass** 

Technology Center 2100

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Signature							
	Examiner Name Date						
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Technology Center 2100

## **Electronic Information Disclosure Statement**

AN APPARATUS AND METHOD FOR UNILATERALLY LOADING A SECURE **OPERATING SYSTEM WITHIN A MULTIPROCESSOR ENVIRONMENT** 

Application:

Confirmation: 1141

Applicant(s): Michael Kozuch

Docket

Number: Group Art

Unit:

042390P11931

OCT 0 9 2002

**Technology Center 2100** 

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Examiner: Unassigned

(4,278,837 or 4,307,214 or 4,366,537 or 4,521,852 or 5,075,842 or 5,022,077 or 5,255,379 or

5,293,424 or 5,421,006 or 5,459,869 or 5,473,692 or 5,479,509 or 5,568,552 or 5,615,263 or

search string: 5,657,445 or 5,717,903 or 5,729,760 or 5,764,969 or 5,796,845 or 5,805,712 or 5,835,594 or

5,844,986 or 5,890,189 or 5,937,063 or 5,978,481 or 5,987,557 or 6,014,745 or 6,058,478 or 6,158,546 or 6,175,925 or 6,178,509 or 6,205,550 or 6,282,651 or 6,282,657 or 20010037450 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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APP\_ID=10043843 Page 3 of 4

#### Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. \* Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. \* This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02–2666. \* Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. \*

#### **Signature**

Examiner Name	Date
	26 Sepas

PTC/SB/08A(10-01)
Approved for use through 10/31/2002, QMB 651-0031
Patient & Tolerack Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known
Application Number Substitute for form 1449A/PTO INFORMATION DISCLOSURE **JEMENT BY APPLICANT** 10/043843 Filing Date November 1, 2001 First Named Inventor Kozuch, Michael RECEIVED Group Art Unit 2132 FEB 1 2 2004 Examiner Name Technology Center 2100 Barron, Gilberto Attorney Docket No: 42P11931 Sheet 1 of 2

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate	

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ı		Under the Paperwork Reduction Act of 1995, no persons are required to respond to a coll	action of information unless it contains a valid CMB control number.
	Substitute for form 1449A/PTO INFORMATION DISCLOSURE	Complete if Known Application Number	
	STATEMENT BY APPLICANT (Use as man) cheets as necessary)	10/043843	*
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TA MAD	AMEN STATES	First Named Inventor Kozuch, Michael	RECEIVED
		Group Art Unit 2132	FEB 1 2 2004 Technology Center 2100
	·	Examiner Name Barron, Gilberto	tosimology ositics are
	Sheet 2 of 2	Attorney Docket No: 42P11931	

	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ť
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Substitute	for form 1449A/PTC		MARIE		Complete if Known
		TRAUT	<i></i>	Application Number	10/043,843
INFO	RMATION	DISCLO	SURE	Filing Date	November 1, 2001
STAT	EMENT BY	/ APPI I	CANT	First Named Inventor	Michael A. Kozuch
יאוט				Art Unit	2132
	(use as many shee	is as necessa		Examiner Name	Gilberto Barron
Sheet	1	of	2	Attorney Docket Number	42390P11931

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear
P		US-5,784,625	07-21-1998	Walker	
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		FOF	REIGN PATEN	IT DOCUMENTS	·	
Examiner	Cite	Foreign Patent Document			Pages Columns Lines	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear	₹*
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Examiner	Date			
Signature :	Considered	26	sep as	~

Examiner: Initial if reference considered, whether or not ctation is in conformance with MPEP 609. Draw line through ctation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique clation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Potent Documents at www.uspto gav or MPEP 901 04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WiPO Standard ST 3). <sup>4</sup>For Japanese patent documents, the inducation of the year of reign of the Emperor must precede the senal number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 16 of possible. <sup>6</sup>Applicant is to place a check mark here of English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zofman (v/x) 08/11/2003



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known			
Application Number	10/043,843		
Filing Date	November 1, 2001		
First Named Inventor	Michael A. Kozuch		
Art Unit	2132		
Examiner Name	Gilberto Barron		
Attorney Docket Number	42390P11931		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
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Examiner Signature	Date Considered	76 SOPAS
		26 200

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is effected

Based on PTO/SB/08B (09-03) as modified by Blakely, Sciokoff, Toylor & Zefman (wir) 08/11/2003 Send To. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>Examiner: Indial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

PTO/SB/08A(10-01
Approved for use through 10/31/2002, OMB 651-003
test & Treferent Officer U.S. DEPARTMENT OF CONJUNCTOR

Substitute for form 1449A/PTO	Complete if Known	required to respond to a collection of information unless it contains a yalid OMB control number
INFORMATION DISCLOSURE STATEMENT BY ARPLICANT	Application Number	10/043843
(Use as many sheets as depassary)	Filing Date	November 1, 2001
LIPR 1-2 TOOM SHEET	First Named Inventor	Kozuch, Michael
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Group Art Unit	2132
STANT & TRADER	Examiner Name	Barron, Gilberto
Sheet 1 of 1	Attorney Docket No: 4	J2P11931

		US PA	TENT DOCUMENTS	S.		
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

		FOREIGN PATEN	T DOCUMENTS		•	
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T²
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<b>'</b> '\	EP-0965902	12/22/1999	Force, Gordon, et al.			
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Examiner Cit Initials* No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.

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Technology Center 2100

**EXAMINER** 

DATE CONSIDERED 26 Sep 05

Substitute for form 1449A/PTO
INTER RMATION DISCLOSURE ATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known	required to respond to a collection of information unless it contains a valid OMB control number
Application Number	10/043,843
Filing Date	November 1, 2001
First Named Inventor	Kozuch, Michael
Group Art Unit	2132 RECEIVES
Examiner Name	Barron, Gilberto
Attorney Docket No. 4	JUL 1 9 2004

Sheet 1 of 2

Attorney Docket No: 42P11931

Technology Center 2100

		US PA	ATENT DOCUMENT	S		Cilinology Cellier Elic
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T²
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Substitute for form 1449A/PTO Complete if Known INDERMATION DISCLOSURE **Application Number** 10/043,843 ATEMENT BY APPLICANT November 1, 2001 (Use as many sheets as necessary) **Filing Date** Kozuch, Micha **First Named Inventor Group Art Unit** 2132 JUL 1 9 2004 Barron, Gilberto **Examiner Name** Technology Center 2100 Attorney Docket No: 42P11931 Sheet 2 of 2

	OTHER	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
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Sheet 1 of 2

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Application Number	10/043,843
Filing Date	November 1, 2001
First Named Inventor	Michael A. Kozuch
Art Unit	2132
Examiner Name	Gilberto Barron
Attorney Docket Number	42390P11931

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that Issued the document, by the two-latter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/043,843					
Filing Date	November 1, 2001					
First Named Inventor	Michael A. Kozuch					
Art Unit	2132					
Examiner Name	Gilberto Barron					
Attorney Docket Number	42390P11931					

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Application Number	10/043,843				
Filing Date	November 1, 2001				
First Named Inventor	Michael A. Kozuch				
Art Unit	2132				
Examiner Name	Gilberto Barron				
Attorney Docket Number	42390P11931				

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#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

RADE Electronic Version v18
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Title of Invention

Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment

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**Technology Center 2100** 

**Application Number:** 

10/043843

Confirmation Number:

1141

First Named Applicant:

Michael Kozuch

Attorney Docket Number: 42P11931

Art Unit: Examiner: 2132

Gilberto Barron

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/043,843				
Filing Date	11/01/01				
First Named Inventor:	Michael A. Kozuch				
Group Art Unit	2151				
Examiner Name	To Be Determined				
Attorney Docket Number	42390PTT1931 11931				

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Signature		7.6 5-005

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for	r Form 14	149A/PTO (Modifie	ed)	Compl	ete if Known	
		•	CLOSURE	Application Number	10/043,843	
1/21PE				Filing Date	11/01/01	
PATE	EME	NT BY AP	PLICANT	First Named Inventor:	Michael A. Kozuch	
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OCT 21 AM	14			Examiner Name	To Be Determined	
Sheet 2		of	2	Attorney Docket Number	42390P11931	
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Examiner Initials*	Cite No <sup>1</sup>		, magazine, journal, se	FAL LETTERS), title of the article (verial, symposium, catalog, etc.), dailisher, city and/or country where pu	te, page(s), volume-issue	T²
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known				
Application Number	10/043,843			
Filing Date	November 1, 2001			
First Named Inventor	Michael A. Kozuch			
Art Unit	2151			
Examiner Name	To Be Determined			
Attorney Docket Number	42390P11931			

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

C	omplete if Known
Application Number	10/043,843
Filing Date	November 1, 2001
First Named Inventor	Michael A. Kozuch
Art Unit	2151
Examiner Name	To Be Determined
Attorney Docket Number	42390P11931

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Examiner tritiats*	Cite No.'	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	No.	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Linas, Where Relevant Passages or Relevant Figures Appear			
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Co	omplete if Known
Application Number	10/043,843
Filing Date	November 1, 2001
First Named Inventor	Michael A. Kozuch
Art Unit	2151
Examiner Name	To Be Determined
Attorney Docket Number	42390P11931

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Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the itam (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

TRADE Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment

Application Number:

10/043843

Confirmation Number:

1141

First Named Applicant: Michael Kozuch Attorney Docket Number: 42P11931

Art Unit:

2132

Examiner:

Gilberto Barron

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4276594 or 4319323 or 4759064 or 4795893 or 4825052 or 4907270 or 4907272 or 4910774 or

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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#### Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature		26	500 05
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